



Founded 1954

Annuity Products Suitability Analysis Form 1330

AMERICAN SAVINGS LIFE INSURANCE COMPANY

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www.AmericanSavingsLife.com

This form is designed to assist the company in gathering information to determine whether the purchase of an annuity is suitable to the client.

PERSONAL INFORMATION:

Applicant's Name: _____ Age: _____ Joint Applicant's Name: _____ Age: _____

Number of Dependents: _____ List ages of Dependents: _____

What is the Applicant's employment status? Employed Retired Other _____

What is the Joint Applicant's employment status? Employed Retired Other _____

FINANCIAL PROFILE:

1) After the purchase of the annuity, will your income and liquid net worth be enough for living expenses and emergencies? Yes No *(Many financial planners recommend that a person maintain an amount of liquid net worth equal to 3 to 6 months of a person's monthly living expenses in case of emergencies.) We recommend that you don't use more than 50% of the assets that you have set aside for retirement to purchase this Annuity, excluding your home. We also recommend you keep at least \$25,000 of savings on hand for emergencies.*

2) Does the value of this annuity purchase exceed 50% of the client's net worth? Yes No

3) If you are past age 70, have you consulted with a family member before buying this annuity? Yes No

4) **Financial Objectives** (check all that apply):

Income Growth Tax Deferral Estate Planning
Preservation of Principal Other (explain) _____

5) **Period of Time Before Money is Needed:**

1-3 years 4-6 years 7-9 years 10-12 years 13 or more years

FUNDS PROFILE:

1) Are funds from an existing life insurance policy or annuity contract being considered for use?
Yes No How long have the policy(ies) or contract(s) been in force? _____

2) Will there be a surrender charge associated with the existing funds? Yes No
If yes, what is the amount of the charge? \$ _____ (approximate value)

3) Do you have any outstanding loans on the existing life insurance or annuity contract(s)?
Yes No Not Applicable

4) Are you currently receiving Required Minimum Distributions or 72t distributions or taking free or systematic withdrawals from your annuity contract(s)?
Yes No Not Applicable

5) Source of Funds for this Annuity Application _____

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APPLICANT - ONLY COMPLETE EITHER BOX "A" OR BOX "B" – DO NOT SIGN BOTH.

BOX "A" - APPLICANT'S ACKNOWLEDGMENT OF SUITABILITY:

I acknowledge that this document has been read to me, or that I have read this document, and fully understand the information and questions contained in this document and that all information provided herein is accurate to the best of my knowledge

I acknowledge that the annuity product I am applying for is a long-term contract with substantial penalties for early withdrawal. I believe that this product meets my current financial needs and objectives.

Applicant Signature

Date

Joint Applicant(s) Signature

Date

AGENT'S ACKNOWLEDGEMENT: I acknowledge that I have reviewed the content of this suitability worksheet and disclosure with my client and have completed a suitability and needs analysis review regarding the purchase of this annuity. Based on information collected, I believe the purchase of this annuity is suitable.

Agent's Signature

Printed Name

Date

BOX "B" - APPLICANT'S ACKNOWLEDGMENT OF RESPONSIBILITY:

I elect NOT to provide financial related information or answers to the above personal financial questions. I have decided to purchase this fixed annuity without a recommendation from my agent or the Company, who cannot make such a recommendation without this information.

I acknowledge that the annuity product I am applying for is a long-term contract with substantial penalties for early withdrawal. I believe that this product meets my current financial needs and objectives.

Applicant Signature

Date

Joint Applicant(s) Signature

Date

AGENT'S ACKNOWLEDGEMENT: The Applicant(s) has not provided complete information and has decided to purchase this fixed annuity without my recommendation.

Agent's Signature

Printed Name

Date

THIS FORM MUST BE SUBMITTED TO AMERICAN SAVINGS LIFE TOGETHER WITH THE APPLICATION.